Sonos, Inc.'s Motion In Limine No. 2

EXHIBIT D

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18	UNITED STATES DISTRICT COURT			
19	FOR THE NORTHERN D	DISTRICT OF CALIFORNIA		
20	GOOGLE LLC,	Case No. 3:20-cv-6754		
21	Plaintiff,	SONOS, INC.'S CORRECTED		
22	v.	SUPPLEMENTAL DISCLOSURE OF ASSERTED CLAIMS AND		
		INFRINGEMENT CONTENTIONS		
23	SONOS, INC.,	Honorable William Alsup		
24	Defendant.	1		
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Pursuant to Patent Local Rules 3-1 and 3-2 and the Court's instructions during the January 6, 2022 motion hearing, Plaintiff Sonos, Inc. ("Sonos") hereby submits this Supplemental Disclosure of Asserted Claims and Infringement Contentions for U.S. Patent Nos. 9,967,615 (the "'615 Patent"), 10,779,033 (the "'033 Patent"), 10,469,966 (the "'966 Patent"), and 10,848,885 ("the '885 Patent") (collectively, "the Asserted Patents").

Sonos bases these contentions on its current knowledge, understanding, and belief as to the facts and information available as of the date of these contentions. Sonos has not yet completed its investigation, collection of information, discovery, or analysis relating to this action, and additional discovery, including discovery from Google and third parties, may lead Sonos to further amend, revise, and/or supplement these contentions. Indeed, the accused functionalities of the accused instrumentalities are implemented, at least in part, by proprietary and specialized electronics, firmware, and/or software, and the precise designs, processes, and algorithms used to perform the accused functionalities are held secret, at least in part, and are not publicly available in their entirety. As such, an analysis of non-publicly-available documentation and source code, including that of Google and/or third-parties, such as Spotify, may be necessary to fully and accurately describe every infringing functionality.

However, merits discovery in this action has barely begun and, as of the date of service of these contentions, is not scheduled to close until November 30, 2022. To date, Google has not produced documents responsive to Sonos's outstanding document requests, no depositions have been taken, and third-party discovery remains outstanding including document and deposition subpoenas to Spotify.

Likewise, Google's interrogatory responses are woefully deficient in many respects and completely non-responsive in others. As a non-limiting example, in response to Sonos's interrogatories asking Google to explain certain discrete aspects of how Google's own products work, Google responded only by referring Sonos to Google's entire source code production (without referring to any particular code modules, functions, methods, line numbers, or even directories) and by block citing over 48,000 pages of Google's document production. As another non-limiting example, in response to Sonos's interrogatory asking how the Accused Cast-Enabled

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Apps are made available for download, Google refused to respond at all. Without the benefit of discovery responses from Google, Sonos's inspection of Google's source code is ongoing. Given that fact discovery is in its infancy and Google served its (deficient) discovery responses on September 7, 2021 and has refused to engage with Sonos about when it will adequately supplement its responses, Sonos has not had an opportunity to confer with Google on these responses or approach the Court for intervention, if necessary.

For at least these reasons, Sonos specifically reserves the right to further amend, revise and/or supplement these contentions and/or accompanying exhibits in accordance with any Orders of record in this matter, Patent L.R. 3-6, and Federal Rule of Civil Procedure 26(e), as additional documents and information become available and as discovery and investigation proceed. Sonos also reserves the right to supplement, modify or amend these contentions to include additional products or services made, used, sold, or offered for sale in or imported into the United States by Google.

The information in these contentions is not an admission regarding the scope of any claims or the proper construction of those claims or any terms contained therein. Google has not indicated whether it intends to advance in this case any construction of any claim pursuant to L.R. 4-2. In the event Google advances any constructions under L.R. 4-2 pursuant to the Court's Case Management Scheduling Order (Dkt. 67), Sonos reserves the right to revise or supplement these contentions to address such a construction. *See* Dkt. 67, ¶ 17.

I. <u>Disclosure of Asserted Claims & Infringement Contentions</u>

A. Identification of Accused Instrumentalities Pursuant to Patent L.R. 3-1(b)

Based on the information currently in its possession, Sonos contends that the Asserted Patents are infringed by Google via, either individually or in combination, the following products (individually, "Accused Instrumentality" or collectively, "Accused Instrumentalities"):

<u>'615 and '033 Patents:</u> (a) Smartphone, tablet, and computer devices, including Google's own "Pixel" smartphone, tablet, and computer devices (e.g., the Pixel, Pixel XL, Pixel 2, Pixel 2 XL, Pixel 3, Pixel 3 XL, Pixel 3a, Pixel 3a XL, Pixel 4, Pixel 4 XL, Pixel 4a, Pixel 4a (5G), Pixel 5, Pixel 5a (5G), Pixel 6, and Pixel 6 Pro phones, the Pixel Slate tablet, and the Pixelbook and

Pixelbook Go laptops), as well as third-party smartphone, tablet, and computer devices, that are				
(i) installed with any of Google's own Cast-enabled Android, iOS, Chrome or browser-based				
apps that allow a user to transfer playback of streaming media content from the user's				
smartphone, tablet, or computer devices to a Cast-enabled media player and then control the Cast-				
enabled media player's playback, including but not limited to the YouTube app, YouTube Kids				
app, YouTube TV app, YouTube Music app, and Google Play Music app, accessed via either an				
app store or Chromecsat-enabled site URL¹ (including youtube.com, music.youtube.com,				
tv.youtube.com, and spotify.com) and/or (ii) installed with any third-party Cast-enabled app that				
allows a user to transfer playback of streaming media content from the user's smartphone, tablet,				
or computer devices to a Cast-enabled media player and then control the Cast-enabled media				
player's playback, including but not limited to the Spotify app ² (the foregoing, including				
Chromecast-enabled websites, are referred to herein either individually or collectively as the				
"accused Cast-enabled apps")3; (b) Cast-enabled media players having a display screen and				
installed with Cast-enabled software (e.g., firmware and/or Cast-enabled apps) that allows a user				
to transfer playback of streaming media content from the Cast-enabled media player to another				
Cast-enabled media player and then control the other Cast-enabled media player's playback,				
including Google's Home Hub, Nest Hub, and Nest Hub Max media players (referred to herein as				
"Cast-enabled displays"); (c) Servers that host at least one of the accused Cast-enabled apps for				
download onto or access by smartphone, tablet, or computer devices; and (d) Cloud-based				

 $^{20 \}parallel \frac{}{1.6}$

¹ See, e.g., https://support.google.com/chromecast/answer/3265953?hl=en.

² See, e.g., https://support.google.com/chromecastbuiltin/answer/6279384?hl=en#zippy=%2Cbefore-youbegin-casting%2Ccast-from-chromecast-enabled-apps-to-your-audio-device%2Cfind-new-content-to-cast; https://www.google.com/chromecast/built-in/apps/.

³ Although Sonos is not currently aware of which third-party smartphone, tablet, and computer devices were, between May 2018 to present, actually installed with one or more accused Cast-enabled apps, and awaits production of this information from Google, in the spirit of providing as much disclosure as possible given Google's request, and in an effort to address the Court's guidance during the January 6, 2022 hearing, attached hereto as Appendix 1 is a list of possible third-party smartphone, tablet, and computer devices that may have been used to download and install one or more accused Cast-enabled apps between May 2018 to present. Although efforts have been made to compile as accurate and as complete a list as possible, it may be the case that some of the devices listed in Appendix 1 were not used to download and install any accused Cast-enabled apps between May 2018 to present and/or it may be the case that other devices were used to download and install one or more accused Cast-enabled apps between May 2018 to present. Given this, Sonos reserves the right to revise or supplement this as discovery progresses.

infrastructure hosting backend software that facilitates the aforementioned Cast functionality for transferring playback of streaming media content to a Cast-enabled media player and/or controlling the Cast-enabled media player's playback.

<u>'966 Patent:</u> (a) Smartphone, tablet, and computer devices, including Google's own "Pixel" smartphone, tablet, and computer devices (e.g., the Pixel, Pixel XL, Pixel 2, Pixel 2 XL, Pixel 3, Pixel 3XL, Pixel 3a, Pixel 3a XL, Pixel 4, Pixel 4 XL, Pixel 4a, Pixel 4a (5G), Pixel 5, Pixel 5a (5G), Pixel 6, and Pixel 6 Pro phones, the Pixel Slate tablet, and the Pixelbook and Pixelbook Go laptops), as well as third-party smartphone, tablet, or computer devices, that are installed with the Google Home app⁴; and (b) Servers that host the Google Home app for download onto smartphone, tablet, or computer devices.

<u>'885 Patent:</u> "Cast-enabled media players," including Google's Chromecast, Chromecast Ultra, Chromecast Audio, Chromecast with Google TV, Home Mini, Nest Mini, Home, Home Max, Home Hub, Nest Hub, Nest Hub Max, Nest Audio, and Nest Wifi Point media players.

B. Identification of Asserted Claims Pursuant to Patent L.R. 3-1(a)

Based on the information currently in its possession, Sonos asserts the following claims (collectively, the "Asserted Claims") literally and/or under the Doctrine of Equivalents. Sonos expressly reserves the right to amend and/or supplement its identification of Asserted Claims should discovery (including Google's technical documentation, source code, and witnesses) reveal additional, relevant information.

U.S. Patent No.	Asserted Claims
9,967,615	13, 14, 15, 18, 19, 20, 21, 25, 26
10,779,033	1, 2, 4, 9, 11, 12, 13, 16
10,469,966	1, 2, 4, 6, 8, 9, 10, 12, 14, 16
10,848,885	1, 3, 7, 8, 10, 14

i. Direct Infringement Under 35 U.S.C. § 271(a)

<u>'615 and '033 Patents</u>: Sonos contends that each smartphone, tablet, and computer device installed with any one or more of the accused Cast-enabled apps (referred to herein as a "Cast-

⁴ *See supra* note 3. The devices listed in Appendix 1 may have also been used to download and install the Google Home app between November 2019 to present.

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		SONOS, INC.'S P.L.R. 3-1 & 3-2

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